

Explicit estimates on the summatory functions of the Moebius function with coprimality restrictions

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Abstract

We prove that $|\sum_{\substack{d \leq x, \\ (d,q)=1}} \mu(d)/d| \leq 2.4(q/\varphi(q))/\log(x/q)$ for every $x > q \geq 1$ and similar estimates for the Liouville functions. We give also better constants when x/q is larger.

1 Introduction

In explicit analytic number theory, one needs very often to evaluate the average of a multiplicative function, say f . The usual strategy is to compare this function to a more usual model f_0 , as in [12, Lemma 3.1]. This process is also well detailed in [2]. When the model is $f_0 = 1$, the situation is readily cleared out; it is also well studied when this model is the divisor function in [1, Corollary 2.2]. We signal here that the case of the characteristic function of the squarefree numbers is specifically handled in [4]. The next problem is to use the Moebius function as a model. In this case the necessary material can be found in [13], though of course the saving is much less and may be insufficient: when the model is 1 or the divisor function, or the characteristic

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function of the squarefree integers, the saving is a power of the size of the variable, while now it is only a logarithm (or the square of one according to whether one says that the trivial estimate for $\sum_{d \leq D} \mu(d)/d$ is 1 or $\log D$). One of the consequences is that one has to be more careful, and thrifty, when it comes to small variations. The variations we consider here is the addition of a coprimality condition $(d, q) = 1$, for some fixed q , on the ranging variable d . Our first aim is thus to show how to get explicit estimates for the family of functions

$$m_q(x) = \sum_{\substack{n \leq x, \\ (n, q) = 1}} \mu(n)/n, \quad m(x) = m_1(x) \quad (1.1)$$

from explicit estimates concerning solely $m(x)$. The definition of the Liouville function $\lambda(n)$ is recalled below in (1.3), while the auxiliary function ℓ_q is defined in (1.4).

Theorem 1.1. *We have, when $1 \leq q < x$, where q is an integer and x a real number,*

$$\left| \sum_{\substack{n \leq x, \\ (n, q) = 1}} \frac{\mu(n)}{n} \right| \leq \frac{q}{\varphi(q)} \frac{2.4}{\log(x/q)}, \quad \left| \sum_{\substack{n \leq x, \\ (n, q) = 1}} \frac{\lambda(n)}{n} \right| \leq \frac{q}{\varphi(q)} \frac{0.79}{\log(x/q)}.$$

Moreover $\log(x/q)|\ell_q(x)| \leq 0.155 \frac{q}{\varphi(q)}$ and $\log(x/q)|m_q(x)| \leq \frac{3}{2} \frac{q}{\varphi(q)}$ when $x/q \geq 3310$. We also have $\log(x/q)|m_q(x)| \leq \frac{7}{8} \frac{q}{\varphi(q)}$ when $x/q \geq 9960$.

The sole previous estimate on $m_q(x)$ seems to be [7, Lemma 10.2] which bounds $|m_q(x)|$ uniformly by 1. The estimate for $m(x)$ that will provide the initial step comes from [13]

$$|m(x)| \leq 0.03/\log x \quad (x \geq X_0 = 11\,815). \quad (1.2)$$

Let us first note that the simplest treatment of this condition via the Moebius function, i.e. writing

$$\mathbb{1}_{(d, q) = 1} = \sum_{\substack{\delta | q, \\ \delta | d}} \mu(\delta),$$

does not work here. Indeed we get:

$$\sum_{\substack{d \leq D, \\ (d, q) = 1}} \frac{\mu(d)}{d} = \sum_{\delta | q} \mu(\delta) \sum_{\delta | d \leq D} \frac{\mu(d)}{d} = \sum_{\delta | q} \frac{\mu(\delta)^2}{\delta} \sum_{\substack{d \leq D/\delta, \\ (d, \delta) = 1}} \frac{\mu(d)}{d}$$

and we are back to the initial problem with different parameters. The classical workaround (used for instance in [10, near (7)] but already known by Landau) runs as follows: we determine a function g_q such that $\mathbb{1}_{(n,q)=1}\mu(n) = g_q \star \mu(n)$, where \star denotes the arithmetic convolution product. The drawback of this method is that the support of g is not bounded (determining g_q by comparing the Dirichlet series is a simple exercise). So if we write

$$\sum_{\substack{d \leq D, \\ (d,q)=1}} \mu(d)/d = \sum_{\delta \leq D} \frac{g_q(\delta)}{\delta} \sum_{d \leq D/\delta} \frac{\mu(d)}{d},$$

we are forced to two things:

1. using estimates for $\sum_{d \leq D/\delta} \mu(d)/d$ when D/δ can be small,
2. completing the sum over δ to get a decent result.

Both steps introduce quite a loss when q is not specified. We propose here a different approach by introducing the Liouville function as an intermediary. This function $\lambda(n)$ is the completely multiplicative function that is 1 on integers that have an even number of prime factors – counted with multiplicity – and -1 otherwise. It satisfies

$$\sum_{n \geq 1} \frac{\lambda(n)}{n^s} = \frac{\zeta(2s)}{\zeta(s)}. \quad (1.3)$$

We introduce the family of auxiliary functions

$$\ell_q(x) = \sum_{\substack{n \leq x, \\ (n,q)=1}} \lambda(n)/n, \quad \ell(x) = \ell_1(x). \quad (1.4)$$

Our process runs as follows: we derive bounds for $\ell(x)$ from bounds on $m(x)$ and some computations, derive bounds on $\ell_q(x)$ from bounds on $\ell(x)$, and finally derive bounds on $\mu_q(x)$ from bounds on $\ell_q(x)$. The theoretical steps are contained in the three Lemmas 2.3, 2.5 and 3.2.

We complete this introduction by signalling that [14] contains explicit estimates with a large range of uniformity for sums of the shape

$$\sum_{\substack{d \leq x, \\ (d,r)=1}} \frac{\mu(d)}{d^{1+\varepsilon}}$$

and for a similar sum but with the summand $\mu(d) \log(x/d)/d^{1+\varepsilon}$. The path we followed there is essentially elementary and the saving is less.

I thank Harald Helfgott for interesting discussions that pushed me into pulling this note out of its drawer. Special thanks are also due to the referee for his/her very careful reading: several numerical errors have been corrected in the process, and the arguments are also now better exposed.

2 From the Moebius function to the Liouville function

Lemma 2.1. *For $2 \leq x \leq 906\,000\,000$, we have $|\ell(x)| \leq 1.347/\sqrt{x}$.*

For $2 \leq x \leq 1.1 \cdot 10^{10}$, we have $|\ell(x)| \leq 1.41/\sqrt{x}$.

For $1 \leq x \leq 1.1 \cdot 10^{10}$, we have $|\ell(x)| \leq \sqrt{2/x}$.

The computations have been run with PARI/GP (see [11]), speeded by using gp2c as described for instance in [1]. We mention here that [6] proposes an algorithm to compute isolated values of $M(x)$. This can most probably be adapted to compute isolated values of $\ell(x)$, but does not seem to offer any improvement for bounding $|\ell(x)|$ on a large range. In [3], the authors show that

$$\ell(x) \geq 0, \quad (x < 72\,185\,376\,951\,205)$$

and that

$$\ell(x) \geq -2.0757642 \times 10^{-9}, \quad (x \leq 75\,000\,000\,000\,000)$$

This takes care of the lower bound for $\ell(x)$. The computations we ran are much less demanding in time and algorithm, but however rely on a large enough sieve-kind of table to compute values of $\lambda(n)$ on some very large range. Harald Helfgott (indirectly) pointed out to me that the RAM-memory can be very large nowadays, allowing to precompute large quantities to which one has an almost immediate access. Here is a simplified version of the main loop:

```
{getbounds(zmin:small, valini:real, zmax:small) =
  my(maxi:real, valuesliouville:vecsmall, gotit:vecsmall,
    valuel:real, bound:small, pa:small);

  /* Precomputing lambda(n): */
  valuesliouville = vectorsmall(zmax-zmin+1, m, 1);
  gotit = vectorsmall(zmax-zmin+1, m, 1);
  forprime (p:small = 2, floor(sqrt(zmax+0.0)),
```

```

bound = floor(log(zmax+0.0)/log(p+0.0));
pa = 1;
for(a:small = 1, bound,
    pa *= p;
    for(k:small = 1, floor((zmax+0.0)/pa),
        if(k*pa >= zmin,
            valuesliouville[k*pa-zmin+1] *= -1;
            gotit[k*pa-zmin+1] *= p,)))));

/* Correction in case of a large prime factor: */
for(n:small = zmin, zmax,
    if(gotit[n-zmin+1] < n,
        valuesliouville[n-zmin+1] *= -1,));

valuel = (valini + 0.0) + valuesliouville[1]/zmin;
maxi = max( valini*sqrt(zmin+0.0), abs(valuesl*sqrt(zmin+1.0)));

/* Main loop: */
for(n:small = zmin+1, zmax,
    valuel += valuesliouville[n-zmin+1]/n;
    maxi = max(maxi, abs(valuel)*sqrt(n+1.0)));

return([maxi, valuel]);
}

```

We used this loop to compute our maximum on intervals of length $2 \cdot 10^7$. The main function aggregates these results by making the interval vary. The computations took about half a day on a 64 bits fast desktop equipped of 8G of RAM. In the actual script, we also checked that the computed value of $\ell(x)$ is non-negative in this range. Going farther would improve on the final constants, but only when x/q is large. We compared $|\ell(x)|$ with $1/\sqrt{x}$, and this seems correct for small values, but the works [9] and [8] suggest that the maximal order is larger than that.

Lemma 2.2. *The function*

$$T(y) : y \mapsto \frac{\log y}{y} \int_{\sqrt{x_0}}^y \frac{dv}{\log v}$$

satisfies $T(y) \leq 1.119$ for $y \geq 10^5$.

Proof. A repeated integration by parts shows that

$$\begin{aligned} T(y) &= \frac{\log y}{y} \left(\frac{y}{\log y} - \frac{\sqrt{X_0}}{\log \sqrt{X_0}} + \frac{y}{(\log y)^2} - \frac{\sqrt{X_0}}{(\log \sqrt{X_0})^2} + 2 \int_{\sqrt{X_0}}^y \frac{dv}{(\log v)^3} \right) \\ &\leq \frac{\log y}{y} \left(\frac{y}{\log y} - \frac{\sqrt{X_0}}{\log \sqrt{X_0}} + \frac{y}{(\log y)^2} - \frac{\sqrt{X_0}}{(\log \sqrt{X_0})^2} \right) + \frac{2T(y)}{(\log \sqrt{X_0})^2} \end{aligned}$$

from which we deduce that

$$T(y) \leq 1.1001 \cdot \left(1 + \frac{1}{\log y} \right).$$

This shows that $T(y) \leq 1.113$ when $y \geq 10^{40}$. We then check *numerically* that the function T is increasing and then decreasing, with a maximum around 12478.8 with value $1.118598 + \mathcal{O}^*(10^{-6})$. But this is only an *observation*, since a computer computes only a sample of values. Since the derivative of T can easily be bounded, we obtain the claimed upper bound. The reader may also consult [5] where a similar process is fully detailed. \square

The following lemma is a simple exercise:

Lemma 2.3. *We have*

$$\ell_q(x) = \sum_{\substack{u^2 \leq x, \\ (u,q)=1}} m_q(x/u^2)/u^2. \quad (2.1)$$

We shall use it only when $q = 1$, but it is equally easy to state it in general.

Lemma 2.4. *For $x > 1$, we have $|\ell(x)| \leq 0.79/\log x$.*

For $x \geq 3310$, we have $|\ell(x)| \leq 0.155/\log x$.

For $x \geq 8918$, we have $|\ell(x)| \leq 0.099/\log x$.

Proof. We appeal to Lemma 2.3 (with $q = 1$) and separate the sum according to $u \leq U$ or $u > U$ where $x/U^2 \geq X_0$. When $u \leq U$ we apply (1.2), in the other case we use that $|m(x)| \leq 1$

$$|\ell(x)| \leq 0.03 \sum_{u \leq U} \frac{1}{u^2 \log(x/u^2)} + \frac{1 + U^{-1}}{U}$$

With $f(t) = 1/(t^2 \log(x/t^2))$, we check that

$$f'(t) = -\frac{2}{t^3 \log(x/t^2)} + \frac{2}{t^3 \log^2(x/t^2)}.$$

This quantity is negative for $1 \leq t \leq U$, since then $x/t^2 \geq x/U^2 \geq X_0 > e$.

We thus have

$$\sum_{u \leq U} \frac{1}{u^2 \log(x/u^2)} \leq f(1) + \int_1^U f(t) dt = \frac{1}{\log x} + \int_1^U \frac{dt}{t^2 \log(x/t^2)}.$$

Changing variables we get

$$\sum_{u \leq U} \frac{1}{u^2 \log(x/u^2)} \leq \frac{1}{\log x} + \frac{1}{\sqrt{x}} \int_{\sqrt{x/U^2}}^{\sqrt{x}} \frac{dv}{2 \log v}.$$

It follows that

$$|\ell(x)| \leq \frac{0.03}{\log x} + \frac{0.03}{\sqrt{x}} \int_{\sqrt{X_0}}^{\sqrt{x}} \frac{dv}{2 \log v} + \frac{1 + \sqrt{X_0/x}}{\sqrt{x/X_0}}.$$

We apply Lemma 2.2 at this level. Hence, when $x \geq 10^{10}$,

$$\begin{aligned} |\ell(x)| &\leq \frac{0.03}{\log x} + \frac{0.03 \cdot 1.119}{\log x} + \frac{1 + \sqrt{X_0/x}}{\sqrt{x/X_0}} \\ &\leq \frac{0.06357}{\log x} + \frac{(1 + \sqrt{X_0/x}) \log x}{\sqrt{x/X_0}} \frac{1}{\log x} \\ &\leq \frac{0.089}{\log x} \leq \frac{0.099}{\log x}. \end{aligned}$$

We extend it to $x \geq 17715$ via Lemma 2.1, part one and two, and to $x \geq 8918$ by direct inspection. This inequality extends to $x \geq 1$ by weakening the constant 0.099 to 0.79. It is straightforward to use some mild computations to check the validity of the bound 0.155 when $x \geq 3310$. \square

Adding coprimality conditions

Our tool is provided by the simple elementary lemma.

Lemma 2.5. *We have*

$$\ell_q(x) = \sum_{d|q} \frac{\mu^2(d)}{d} \ell(x/d).$$

The second part of Theorem 1.1 follows immediately by combining Lemma 2.5 together with Lemma 2.4. Actually, what comes out is the bound

$$|\ell_q(x)| \leq \frac{0.79}{\log(x/q)} \sum_{d|q} \frac{\mu^2(d)}{d} = \frac{0.79}{\log(x/q)} \prod_{p|q} \frac{p+1}{p}.$$

As the function $q/\varphi(q)$ is easier to remember and $\prod_{p|q} \frac{p+1}{p} \leq q/\varphi(q)$, we simplify the above into

$$|\ell_q(x)| \leq \frac{0.79}{\log(x/q)} \frac{q}{\varphi(q)}.$$

When $x/q \geq 3310$, one can replace 0.79 by 0.155, and when $x/q \geq 8918$, by $1/10$.

3 Back to the Moebius function with coprimality conditions

Let us start with a wide ranging estimate:

Lemma 3.1. *We have, for every integer $q \geq 1$ and every real number $x \geq 1$, $|\ell_q(x)| \leq \pi^2/6$.*

Proof. This is a direct consequence of Lemma 2.3 and [7, Lemma 10.2].¹ \square

The following lemma is again a simple exercise.

Lemma 3.2. *We have*

$$m_q(x) = \sum_{\substack{u^2 \leq x, \\ (u,q)=1}} \frac{\mu(u)}{u^2} \ell_q(x/u^2).$$

Proof of Theorem 1.1. We have to prove several estimates of type

$$\varphi(q) \log(x/q) |m_q(x)| \leq c, \quad x/q \geq N.$$

We put $x^* = x/q$ and $y = \log x^* = \log(x/q)$ and separate the proof in two parts. First we consider the case $1 \leq y \leq 8$, and later the case $y > 8$.

Case (A) : $1 \leq y \leq 8$. We appeal to Lemma 3.2. We have for a real parameter U such that $U^2 \leq x^*$

$$\begin{aligned} |m_q(x)| &\leq \sum_{u^2 \leq x} \frac{\mu^2(u)}{u^2} |\ell_q(x/u^2)| & (3.1) \\ &\leq \sum_{u \leq U} \frac{q}{\varphi(q)} \frac{0.79\mu^2(u)}{u^2 \log(x/(u^2q))} + \frac{\pi^2}{6} \sum_{u > U} \frac{\mu^2(u)}{u^2} \\ &\leq \frac{q/\varphi(q)}{\log(x/q)} \left(\sum_{u \leq U} \frac{0.79\mu^2(u)}{u^2(1 - \frac{2\log u}{\log(x/q)})} + \frac{\pi^2}{6} \sum_{u > U} \frac{\mu^2(u)}{u^2} \log(x/q) \right). \end{aligned}$$

¹If we were to adapt the proof presented in [7] to the case of λ instead of μ , we would reach the bound 2 and not $\pi^2/6$.

This is our starting inequality. We define

$$\rho(U, y) = 0.79 \sum_{u \leq U} \frac{\mu^2(u)}{u^2(1 - \frac{2 \log u}{y})} + \frac{\pi^2}{6} \sum_{u > U} \frac{\mu^2(u)}{u^2} y. \quad (3.2)$$

Note that $\rho(U, y) = \rho([U], y)$ where $[U]$ is the integer part of U . For each y we need to select one U such that $\rho(U, y) \leq 2.4$. We choose $U = 1$ for $y \in [1, a_1]$; $U = 2$ for $y \in [a_1, a_2]$; $U = 3$ for $y \in [a_2, a_3]$; and $U = 7$ for $y \in [a_3, 8]$. Where $a_1 = 1.8665 \dots$ is a solution of $\rho(1, y) = \rho(2, y)$; $a_2 = 2.6774 \dots$ is a solution of $\rho(2, y) = \rho(3, y)$; $a_3 = 4.1237 \dots$ is a solution of $\rho(3, y) = \rho(7, y)$. Each of these three functions is a sum of a linear term ay and terms of type $\frac{Ay}{(y-2 \log n)}$ with $A > 0$. These are convex for $y > 2 \log n$. In this way it is very easy to show that $\rho(1, y)$ is convex in $[1, a_1]$, $\rho(2, y)$ is convex in $[a_1, a_2]$, $\rho(3, y)$ is convex in $[a_2, a_3]$ and finally that $\rho(7, y)$ is convex in $[a_3, 8]$. So, for example, to show the inequality $\rho(3, y) \leq 2.4$ in the interval $[a_2, a_3]$ we only have to show that $\rho(3, a_2)$, and $\rho(3, a_3) \leq 2.4$. This presents no difficulty. The maximum value obtained is $\rho(2, a_2) = 2.38790 \dots$ with

$$a_2 = \frac{237 + 100\pi^2 \log 3}{50\pi^2},$$

$$\rho(2, a_2) = \frac{237}{20\pi^2} + \pi^2 \left(\frac{79 \log 2}{948 + 400\pi^2 \log(3/2)} - \frac{5 \log 3}{12} \right) + \log 243.$$

Case (B) : $y > 8$.

We start from Lemma 3.2, from which we deduce the simpler bound:

$$|m_q(x)| \leq \sum_{u^2 \leq x} |\ell_q(x/u^2)| / u^2$$

which we then exploit in the same way as what is done in the proof of Lemma 2.4, replacing the bound $|m(x)| \leq 1$ by Lemma 3.1. With $x = eU^2q$ and $x^* = x/q$, we thus get

$$\begin{aligned} |m_q(x)| &\leq \frac{q}{\varphi(q)} \frac{0.79}{\log x^*} + \frac{0.79q}{\varphi(q)} \int_1^{\sqrt{x^*/e}} \frac{du}{u^2 \log(x^*/u^2)} + \frac{\pi^2 \sqrt{e} (1 + \sqrt{e/x^*})}{6 \sqrt{x^*}} \\ &\leq \frac{q}{\varphi(q)} \frac{0.79}{\log x^*} + \frac{0.79q}{\varphi(q) \sqrt{x^*}} \int_{\sqrt{e}}^{\sqrt{x^*}} \frac{dv}{2 \log v} + \frac{\pi^2 \sqrt{e} (1 + \sqrt{e/x^*})}{6 \sqrt{x^*}} \\ &\leq c(x^*) \frac{q}{\varphi(q) \log x^*} \end{aligned}$$

with

$$c(x^*) = 0.79 + 0.79 \frac{\log x^*}{\sqrt{x^*}} \int_{\sqrt{e}}^{\sqrt{x^*}} \frac{dv}{2 \log v} + \frac{\pi^2 \sqrt{e} (1 + \sqrt{e/x^*})}{6 \sqrt{x^*}} \log x^*.$$

Some numerical work shows that $c(x^*) \leq 2.4$ when $x^* \geq 1862$, so our inequality is proved for $y > \log 1862 = 7.52941\dots$. This with part (A) proves that $\varphi(q) \log(x/q) |m_q(x)| \leq 2.4$ for $1 \leq q < x$.

When $x^* \geq 3310$, we can single out the term $u = 1$ in (3.1) and modify the coefficient of the bound on this term from 0.79 into 0.155, then we treat the rest of the sum in the same way as before. We get a similar bound with $c(x^*)$ substituted by:

$$c_1(x^*) = 0.155 + 0.79 \frac{\log x^*}{4 \log(x^*/4)} + 0.79 \frac{\log x^*}{\sqrt{x^*}} \int_{\sqrt{e}}^{\sqrt{x^*/4}} \frac{dv}{2 \log v} + \frac{\pi^2 \sqrt{e}}{6} \frac{1 + \sqrt{e/x^*}}{\sqrt{x^*}} \log x^*.$$

This yields a maximum not more than $1.466 < 3/2$. When $x^* \geq 3 \times 3310$, we single out the terms of index 1, 2, and 3 similarly. This means substituting $c_2(x^*)$ to $c_1(x^*)$ where the $c_2(x^*)$ is defined by

$$c_2(x^*) = 0.155 + 0.155 \frac{\log x^*}{4 \log(x^*/4)} + 0.155 \frac{\log x^*}{9 \log(x^*/9)} + 0.79 \frac{\log x^*}{25 \log(x^*/25)} + 0.79 \frac{\log x^*}{\sqrt{x^*}} \int_{\sqrt{e}}^{\sqrt{x^*/25}} \frac{dv}{2 \log v} + \frac{\pi^2 \sqrt{e}}{6} \frac{1 + \sqrt{e x^{*-1/2}}}{\sqrt{x^*}} \log x^*.$$

This yields a maximum not more than $0.871 < 7/8$. The proof of Theorem 1.1 is complete. \square

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